



ATTORNEY DOCKET NO. 1703-011.US2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Danny E. Afar et al.
Serial No : 09/455,486
Filed : December 6, 1999
For : NOVEL SERPENTINE TRANSMEMBRANE ANTIGENS EXPRESSED IN
HUMAN CANCERS AND USES THEREOF

April 10, 2000

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

SIR:

TRANSMITTAL LETTER

Please find the following enclosed for filing in connection with the above-referenced application:

1. Response to Notice to File Missing Parts and petition for one month extension of time under 37 CFR 1.136(a); Inventors' combined Declaration and Power of Attorney; payment of the required fees; copy of the Notice.
2. Return Postcard.

A duplicate of this Transmittal Letter is also included.

Respectfully submitted,

Kenneth K. Sharples
Registration No. 35,355
Attorney for Applicants
Law Office of Kenneth K. Sharples
80 Fourth Street, Suite 202, P.O. Box 277
Point Reyes Station, California 94956
415/663-0323

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231, on APRIL 10, 2000.

By: KK SHARPLES



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Washington, D.C. 20231

SIR:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER
37 C.F.R. 1.53 (d) AND PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.36(a)**

This communication is submitted in response to a Notice to File Missing Parts of Application under 37 C.F.R. §1.53 (d) issued in connection with the above-identified application on January 13, 2000. Applicants hereby request a one-month extension of time under 27 CFR 1.36(a) in order to enlarge the time for response to and including April 13, 2000 and herewith make payment of the \$55 small entity fee therefor. Accordingly, this response is being timely filed.

Applicants hereby submit their Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53 (d) and in compliance with 37 C.F.R. §1.63. The Declaration refers to the application's above-identified serial number and filing date. Although the Notice indicates a surcharge of \$130 for large entity, this application was filed with a small entity verification, and small entity status has been granted according to PTO records. Accordingly, payment of the small entity surcharge of \$65 is also made herewith. A corrected filing receipt indicating SMALL ENTITY STATUS is hereby requested.

A check in the amount of \$120 to cover the total required fees is enclosed herewith. No other fee is deemed necessary in connection with the filing of this Communication. A copy of the Notice is also enclosed herewith.

Respectfully submitted,

Kenneth K. Sharples
Registration No. 35,355

Attorneys for Applicants
Law Office of Kenneth K. Sharples
80 Fourth Street, Suite 202, P.O. Box 277
Point Reyes Station, California 91103
415/663-0323

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**Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/455,486

12/06/99

AFAR

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1703-011.US2

0242/0113

KENNETH K SHARPLES
LAW OFFICE OF KENNETH K SHARPLES
80 FOURTH STREET
P O BOX 277
POINT REYES STATION CA 94956

NOT ASSIGNED

1643

DATE MAILED:

01/13/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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